Docket No.

277605US6YA PCT

#### TENT AND TRADEMARK OFFICE IN THE UNITED

IN RE APPLICATION OF:

Gary ESCHER, et al.

SERIAL NO:

10/550,215

GAU:

Unassigned

FILED:

September 22, 2005

EXAMINER: Unassigned

FOR:

A BARRIER LAYER FOR A PROCESSING ELEMENT AND A METHOD OF FORMING THE SAME

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited on the attached Korean Office Action with English translation, and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **RELATED CASES**

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### CERTIFICATION

- Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- □ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,

MATER & NEUSTADT, P.C.

Steven P. Weihrouch

Registration No. 32,829

Customer Number

Tel. (703) 413-3000 Fax. (703) 413-2220 (OSMMN 05/03)

I:\ATTY\EDG\2312 - TOKYO ELECTRON\TEA\277605US IDS TRANSMITTAL.DOC

Edwin D. Garlepp

Registration No. 45,330

SHEE	T 1	OF	1

Form PTO 1449 U.S. DEPARTMENT OF COMMERCE (Modified) PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO MAY 16 2006 SERIAL NO.								
		PATENT AND TRADEMARK OFFICE		277605US 人	<i>[</i> ]	10/550,2	215			
				277605US 10/550,215 APPLICANT Gary ESCHER, et al.						
				FILING DATE		GROUP				
				September 22, 2005		Unassigned				
U.S. PATENT DOCUMENTS										
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS SUB FILING DATE CLASS IF APPROPRIATE				
	AA	5,952,054	9-14-99	Sato et al.						
	AB									
	AC									
	AD	-								
	AE									
	AF				<u> </u>					
	AG						•			
	АН		-							
	Al									
	AJ									
	AK									
	AL									
•	AM									
	AN	•					,			
FOREIGN PATENT DOCUMENTS										
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO				
	AO	1999-008142	1-25-99	Korea (Corresponds w/ USP 5,952,054)			Х			
	AP	05-070922	3-23-93	Japan (English Abstract w/partial computerized translation)						
	AQ	2001-152307	6-5-01	Japan (English Abstract w/partial computerized translation)						
	AR	10-130884	5-19-98	Japan (English Abstract w/partial computerized translation)						
	AS				,					
	AT									
	AU									
	AV		<u> </u>			<u> </u>				
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)										
	AW						_ 5			
	AX	-								
	AY									
	AZ			Additional References sheet(s) attached						
Examiner					Date Considered					
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										